

**PUBLIC SUMMARY OF STAGE 2 AUDIT OF
ANAP MUPUT FOREST MANAGEMENT UNIT
FOR FOREST MANAGEMENT CERTIFICATION**

**Certificate No : FMU 0006
Date of Stage 2 Audit : 22 - 25 April 2013**

Certification Body:

**SIRIM QAS International Sdn Bhd
Block 4, SIRIM Complex
1, Persiaran Dato' Menteri
Section 2, P. O. Box 7035
40700 Shah Alam
Selangor, MALAYSIA**

Tel: +60 3 5544 6400

+60 3 5544 6448

Fax: +60 3 5544 6763

Email: radziah_mohd.daud@sirim.my

1. INTRODUCTION

The Anap Muput Forest Management Unit (hereafter referred to as 'the Anap Muput FMU') was awarded the *Certificate for Forest Management* against the MC&I (Natural Forest) following the Stage 2 Audit that was conducted on 22 -25 April 2013. The Stage 2 audit was conducted to assess the overall management system and practices within the FMU against the requirements of the MC&I (Natural Forest).

This Stage 2 audit was conducted by a three member team comprising Dr. S. K. Yap.(Forester/Ecologist), Dr. Lim Hin Fui (sociologist) , Mr. Khairul Najwan Ahmad Jahari (Forester). The audit team was assisted by a technical expert in local community issues i.e. Dr. Poline Bala, from Department of Anthropology and Sociology , University Malaysia Sarawak. The audit commenced with an opening meeting on 22 April 2013 at the Sekawie Base Camp ,Bintulu.

The scope of the Stage 2 audit was limited to the forest management system and practices of the natural forest within the FMU.

The FMU had complied with all the federal, state and local laws in its operation. It had also demonstrated an understanding of the international agreements relevant to forest management. Various committees had been established to maintain a continuous communication with local communities to resolve any issues that were raised.

The FMU had adopted the guidelines for reduced/low impact logging in its operation. Protection of riparian buffer belts and steep areas were observed. An EIA report has been completed for the area and environmental management monitoring was maintained as specified. The *Forest Management Plan for Anap Muput FMU 2011-2024* had been revised and updated incorporating recent research data. Monitoring had been maintained with the establishment of new set of PSPs (Permanent Sample Plots), to furnish better information on the growth of residual forest trees following logging. High conservation value forest (HCVF) sites had been identified and monitored.

In general the FMU had conformed to the requirements in the MC&I (Natural Forest) Standard, with three (3) minor NCRs and six (6) OFIs raised at the end of the audit .The FMU is recommended for certification to MC&I(Natural Forest) after the audit team had reviewed and accepted the corrective actions submitted by the FMU on the non conformities and opportunities for improvements raised during the Stage 2 audit.

2. GENERAL SUMMARY

2.1 Name of FMU

Anap Muput FMU

2.2 Contact Person and Address

Mr . Wong Ing Yung
Director
Zedtee Sdn Bhd
Lot 2367-2371, 2nd Floor,Boulevard Commercial Centre,
Miri-Pujut Road,
98008 Miri,
SARAWAK

Phone : 6085-416649
Fax : 6085-416850
E-mail : zedteemiri@gmail.com

2.3 Date of Stage 2 Audit

22-25 April 2013 (12 auditor days)

2.4 Audit Team

Dr Yap Son Kheong (Lead Auditor)
Dr Lim Hin Fui (Auditor)
Mr. Khairul Najwan Ahmad Jahari (Auditor)
Dr. Poline Bala (Technical expert)

2.5 Standards Used

Malaysian Criteria and Indicators for Forest Management Certification (Natural Forest) [MC&I (Natural Forest)]

2.6 Stakeholder Consultation

A stakeholder consultation was conducted in 13 March 2013 for a period of one month. The comments by the stakeholders and responses from the audit team are shown in **Attachment 1**.

3. Anap Muput Forest Management Unit

The Anap Muput FMU is managed by Zedtee Sdn Bhd. It covers an area of 83,535 hectares located between latitudes 2°08' and 2°32'N, and longitudes 112°37' and 113°E in the Tatau District of Bintulu Division.

The FMU is bounded by Sg. Muput Kiba to the north; Sg. Malat and Sg. Anap to the east; Sg. Belawit to the southeast; Bt. Maleng, Bt. Obar and Bt. Likang mountain ridges on the south and the cut-line common boundary with Licence No. T/3393 to the west.

The FMU is classified as Permanent Forest Estate (PFE) under the Anap Protected Forests (76,935 hectares) constituted in 1st March 1958 under Notification No. 587 and Mukah Hills Protected Forests (6,600 hectares) gazetted on 1st September 1956 (No. 1102) and 13th June 1958 (No. 790). The map of the FMU is shown as **Attachment 2**.

The *Forest Management Plan for Anap Muput FMU 2011-2024* had been revised and updated incorporating recent research data. Monitoring had been maintained with the establishment of new set of PSPs (Permanent Sample Plots), to furnish better information on the growth of residual forest trees following logging. High conservation value forest (HCVF) sites had been identified and monitored.

4. SUMMARY OF STAGE 2 AUDIT FINDINGS

The overall findings of the Stage 2 audit indicated that the Anap Muput FMU had complied with the requirements of the MC&I (Natural Forest). A total of three (3) Minor NCRs and six (6) OFIs were raised during the Stage 2 audit. The audit team has reviewed and accepted the corrective actions submitted by the FMU on the non conformities and opportunities raised during the audit. Details of the status of the NCRs and OFIs raised during the stage 2 audit is shown in **Attachment 3**.

With regard to Criterion 6.10, there had been no new issue related to conversion of the natural forest to forest plantations/non-forest land use.

On indigenous peoples' right, the Anap Muput FMU has established various committees to maintain a continuous communication with local communities to resolve any issues raised on matters affecting their customary , legal and use rights as a result of the forest management operations including logging activities. It was noted that there was no recorded civil court case pertaining to legal or customary tenure or use rights within FMU.

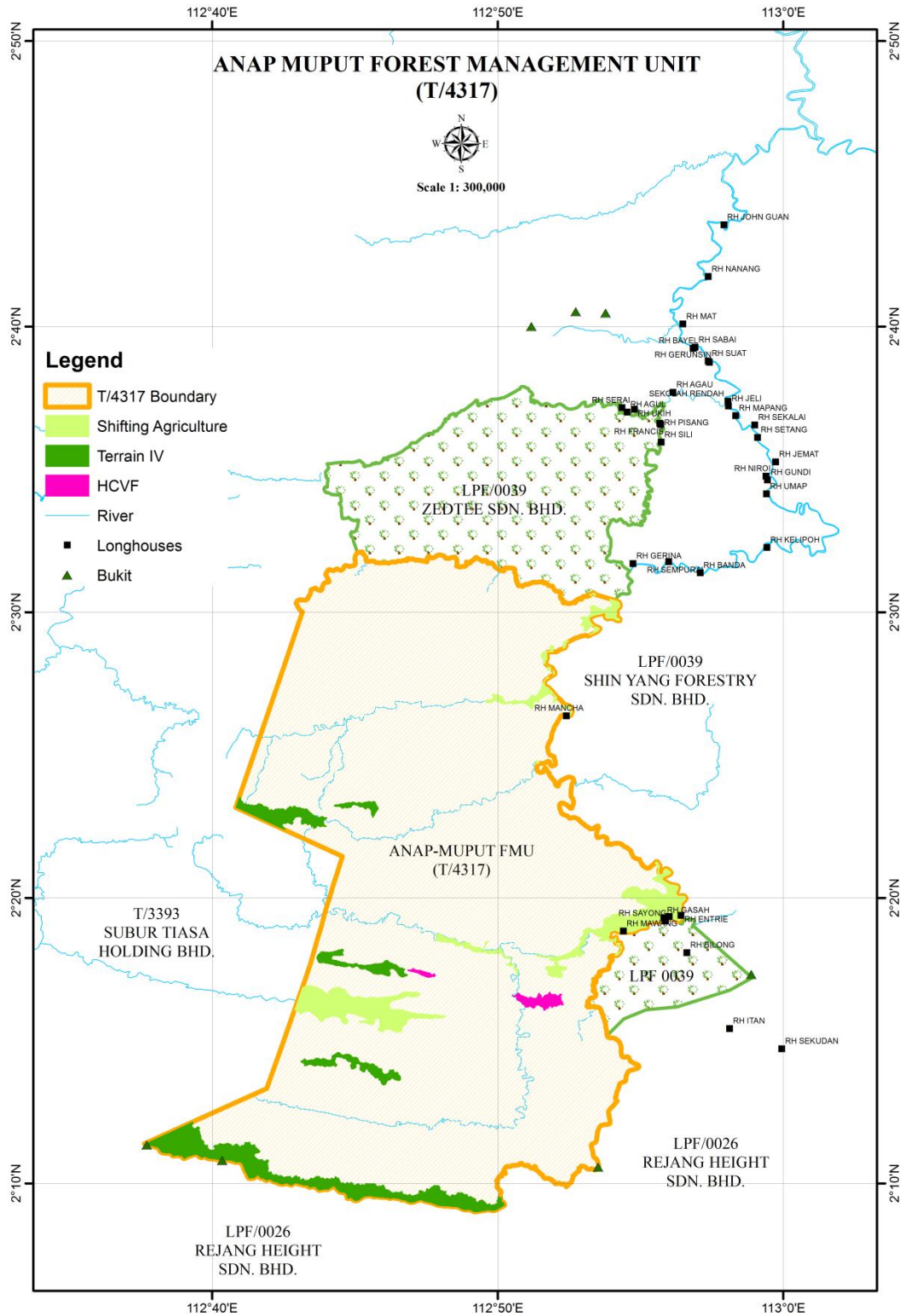
The Anap Muput FMU had submitted a corrective action plan on 7 May 2013 to address the minor NCRs and OFIs that were accepted by the audit team leader. The corrective actions to address the minor NCRs and OFIs shall be verified by the audit team during the next surveillance audit in 2014.

As there was no major NCR raised and all the corrective action plans to the minor NCRs raised during the Stage 2 audit had been accepted, it is therefore recommended that the Anap Muput FMU be awarded with the *Certificate for Forest Management*.

Comments by Stakeholders and Responses from Audit Team

No.	Stakeholder	Comments/Issues Raised	Response by Audit Team
1	Stakeholder 1	We cannot comment because we do not have the latest documents on the site. To comment without any current information would not be fair because there may have been changes that has been done in the FMP or on site that we are not aware off. Furthermore, it is noted that there has been a change in the size of the FMU for certification.	We have checked the area during the application , Stage 1 and Stage 2 ; there were no changes of the size of FMU.

A Map of the Anap Muput FMU



Verification on Stage 1 Issues of Concern (2013) against the MC&I(Natural Forest)
Issues of concerns

Indicator	IOC No.	Issue of concern	Corrective Action Taken	Verification by Auditor
1.1.3	1 (Issues of concern)	There was no documented non-compliance issued by the regulatory agencies.	The FMU had indicated full compliance with the regulations set by the various regulatory agencies.	Consultations with officers from SFC and SFD during the assessment from the head offices in Kuching and regional offices in Bintulu had indicated no violations of the regulations imposed by these agencies.
1.2.1	2 (Issues of concern)	All applicable and legally prescribed fees, royalties, taxes, and other charges had not been listed. The list shall be presented during the Stage 2 audit	List of Royalty & Premium Rate for T/4317; Royalty on Small Diameter Logs, Payment of royalty were provided to the audit team.	List of Royalty & Premium Rate for T/4317; Royalty on Small Diameter Logs, Payment of royalty had been inspected.
1.2.2	3 (Issues of concern)	Some records of payments made were made available in the site office. These included EPF payments up to 2011, SOCSO up to 2007, income tax for staff up to 2008 and royalty payment & cess from 1 May 2007 to 3 April 2008 These records of payments need to be updated and receipts to be made available during the Stage 2 audit.	Updated payments made to SOCSO upto December 2012; Employment Provident Fund and Income tax payment up to December 2012 were presented during the audit. Allocations for all payment made were available in the budgeted expenditure for the financial year ending April 2014.	The documents on all payments made were verified during the Stage 2 audit. Copies of receipts were available during Stage 2.
1.4.1	4 (Issues of concern)	The evaluation of potential conflicts between laws, regulations and these Principles and Criteria was not completed. Record of such evaluation shall be made available during Stage 2 audit .	The FMU had identified criteria and evaluated in terms of potential conflicts with the regulations and laws of Sarawak. The FMU management had also written to Sarawak Forest Department and Sarawak	Official communication by the FMU management to Sarawak Forest Department and Sarawak Timber Association on the potential conflicts was sighted during the Stage 2. The evaluation will be

			Timber Association on the potential conflicts to seek clarification and assistance.	resolved with the relevant government agencies.
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1.5.2	5 (Issues of concern)	There were cases of encroachment and felling of trees reported from 2008 to 2010. Reports were made to the Sarawak Forestry Corporation and compensation had been made by the party responsible. The FMU had taken action to put up signage marking its boundary and <i>Licence Boundary Maintenance and Repainting Report (T4317-T/3393)</i> dated 16 January 2008 was presented. Inspection was conducted during the audit at the boundary along Coupe 13 and along LPF 0039. <i>The boundary markings and signage could be increased at sites where land clearance would be carried out.</i>	There were two main gates entering the FMU from the north and south. Both were guarded and all vehicles entering and leaving were recorded. The gates would be closed from 2100 hr to 0600 hr. Records of vehicles moving through the northern gate were inspected. Inspection of the licence boundary at Coupe 14/2013 was done during the audit. The boundary with neighbouring FMU was clearly marked with red paint and signage posted.	Inspection of the main entrances and the records maintained was done during the audit. The inspected boundary with surrounding FMUs was clearly marked as reported.
1.6.1	6 (Issues of concern)	A policy statement dated 11 January 2011 to commit the FMU on sustainable management was posted in the base camp. A similar policy statement was also available on the web page of the FMU. However, this policy did not include statement to comply with all conditions of the Principles and Criteria.	The policy statement had been revised and included the commitment to undertake forest management certification under <i>MC&I (Natural Forest)</i> .	The revised policy was inspected and had included the statement on the commitment to <i>MC&I (Natural Forest)</i> .
1.6.2	7 (Issues of concern)	Policies were communicated throughout the organisation by having these posted in the base camp. It was also made available in the public summary in the web	Briefing to field staff on the Policy and <i>MC&I (Natural Forest)</i> were conducted to familiarize them to the	Briefing to field staff on the Policy and <i>MC&I (Natural Forest)</i> had been conducted. However, this could be conducted

		<p>page http://www.anapmuputfmu.com/ updated in August 2012.</p> <p>The various committees that have been established in the effort to meet certification standards provided a mechanism for continued communication of policies. Records of briefings to the field workers would be verified during Stage 2 audit.</p>	requirements.	regularly to further familiarize them to the requirements.
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3.1.3	8 (Issues of concern)	All relevant records of consultation with local communities were made available during the audit. These would have to be updated.	Records of the latest consultations with local communities to be presented during Stage 2 audit. These were Resolution/Management dated April 2013, The Meeting Outcome with Muput Community on LPF/0039 Tree Planting in Compartment A.	Records of recent meetings with local communities were presented during the Stage 2 audit.
3.3.1	9 (Issues of concern)	Appropriate procedures for identifying and protecting sites with special cultural, ecological, economic or religious to indigenous people were not established.	Report on Community Dialogue 21 March 2013 had identified burial site.	The site identified was inspected and observed to be demarcated .
3.3.2	10 (Issues of concern)	In addition to the available legal and regulatory framework, the FMU management had established a SFM Liaison Committee. Update of these relevant mechanisms and records of dialogues is inadequate.	Additional dialogues were conducted. Minutes of meeting conducted on 2 April 2013 No. 2/13 was made available. Minutes of meeting ASDU on 2 February 2013 was also presented.	Records of these consultations with local communities and the associated mechanisms were examined during the Stage 2 audit.
4.1.1	11 (Issues of concern)	The approval conditions of EIA had stated that local communities shall be given preference, whenever practical, for employment. Local communities were	Employees Listing from longhouses around FMU updated in March 2013. Additional members employed in March 2013.	During the Stage 2 audit, it was noted that there were opportunities provided to local communities to participate in the FMU

		<p>employed in the FMU but not many would like to work in the operation and this was also stated by the village heads during consultation in the audit .</p> <p>However, there were no documented records of attempts on recruiting local workers and these will be verified in Stage 2 audit.</p>		activities.
4.2.1	12 (Issues of concern)	<p>The documentation on communication of these applicable laws to workers was not available. Furthermore, all records would need to be updated for the Stage 2 audit and copies shall be made available together with employment contracts during the Stage 2 audit.</p>	<p>Dissemination of health and safety laws to workers was made through posters posted in the work sites and office.</p> <p>Update of details of all employees provided in the employees' contracts.</p>	Posters on health and safety laws were posted in the office, work sites and canteen.
4.2.2	13 (Issues of concern)	<p>A Health and Safety Committee had been established on June 2007. An Emergency Response chart dated 2007 was also available. However, the minutes of meeting of Occupational Safety and Health Committee was not presented. A statement of policy on Occupational Safety and Health in accordance with Occupational Safety and Health Act 1994 would have to be written.</p> <p>An Occupational Safety and Health (OSH) officer shall be appointed and trained for the purpose.</p>	<p>A Safety and Health Committee had been established on 12 December 2012 with a trained safety officer as the secretary. The committee consisted of Management, Workers representatives. The minutes of Safety & Health Committee meeting dated 28 December 2012 attended by 16 members had included discussion on matters related to cleanliness, health at residences and SOCSO claims. The secretary of the committee had been trained as a safety officer.</p>	<p>The minutes of Safety & Health Committee meeting dated 28 December 2012 attended by 16 members was examined.</p> <p>There was a trained safety officer who had acted as secretary to the Committee.</p> <p>There was policy on OSHA available.</p>
4.2.3	14 (Issues of concern)	<p>Workers are provided with safety helmets and are wearing them in the field.</p>	<p>Minutes of the Safety and Health Committee held on 28 November 2012 at</p>	<p>Records of equipment and maintenance and the minutes of the meeting</p>

	concern)	Records of equipment and maintenance would be verified during Stage 2 audit. However, there were no minutes of meeting of Occupational Safety and Health Committee that could be verified during this Stage 1 audit.	Sekawie Camp. Members of the Safety and Health Committee were presented.	were verified during Stage 2 audit.
4.2.4	15 (Issues of concern)	Safety records were sighted during the audit. However, minutes of Safety and Health Committee meetings were not presented. It was observed that safety procedure was not adhered during the operation of the log fisher system in Block 9 of Coupe 13. Training on safety in the operation of the log fisher system would have to be implemented.	Warning letter to Block Supervisor Logfisher Operation has been issued. The RILP Guidelines for Logfisher Logging was produced on July 2012.	Warning letter to Block Supervisor Logfisher Operation and the RILP Guidelines for Logfisher Logging were presented. Minutes of the Safety and Health Committee meetings were also presented.
4.2.5	16 (Issues of concern)	Guidelines were available and there was a general awareness on the part of managers, contractors and workers. Storage facilities for fuel and oil were observed. Standard Operation Procedures for Scheduled Waste Management was not presented Signage demarcating these storage sites and operating procedures for storing and handling of hazardous materials, have to be improved.	There was a SOP on management of Scheduled Waste (SW) Used Engine Oil and disposal of non-organic solid wastes and Scheduled Wastes (Lubricant & Oil) was carried out by licenced contractors.	The SOP on management of Scheduled Waste (SW) Used Engine Oil was presented during Stage 2 audit. Disposal of non-organic solid wastes and Scheduled Wastes (Lubricant & Oil) was verified to be carried out by licenced contractors. However, no labelling of Scheduled Wastes cited in Stage 2 audit.
4.3.1	17 (Issues of concern)	This is provided for under the Industrial Relations Act 1967, Trade Union Act 1959 and the Labour Ordinance (Sarawak Cap 76). Copies of these laws were available at the base camp in Sekawie. However, it	A meeting of the Management Workers Committee was held on 20 December 2012. In the meeting the Industrial Relations Act 1967, Trade Union Act 1959 and	The minutes of the meeting of the Management Workers Committee held on 20 December 2012 was presented. Attendance list showing representatives

		was noted that workers were not briefed on these rights,	Labour Ordinance (Sarawak Cap76) were presented to the representatives from various categories of staff that were present.	from various categories of staff present.
4.3.2	18 (Issues of concern)	Copies of the relevant documents listed in the Indicator were available but workers were not briefed on their rights.	In the Management Workers Committee meeting the Industrial Relations Act 1967, Trade Union Act 1959 and Labour Ordinance (Sarawak Cap76) were presented to the representatives from various categories of staff that were present.	Record of the Management Workers Committee meeting when the Industrial Relations Act 1967, Trade Union Act 1959 and Labour Ordinance (Sarawak Cap76) were presented to the representatives from various categories of staff was made available.
4.3.3	19 (Issues of concern)	The Management Workers Committee had instituted procedures for resolution of grievances of the workers. All levels of workers were represented. Minutes of meetings would be audited during the stage 2 audit.	Minutes of meetings of the Management Workers Committee are available.	Minutes of meetings were verified during Stage 2 audit.
4.3.4	20 (Issues of concern)	The Management Workers Committee had instituted procedures for resolution of grievances of the workers. All levels of workers were represented. Minutes of meetings would be examined during the stage 2 audit.	Minutes of meeting held on 20 December 2012 are available.	Minutes of meeting on 20 December 2012 were verified during Stage 2 audit.
5.1.1	21 (Issues of concern)	Only records of expenditure from May 2003 to April 2007 were presented during the audit. The records of investments and reinvestments for forest management in the form of annual budget would have to be presented during the stage 2 audit.	Zedtee Sdn Berhad financial report ended 10 April 2012 was provided during the audit. The budgeted expenditures from 1 May 2013 to 30 April 2014 were also made available.	Zedtee Sdn Berhad financial report ended 10 April 2012 and the budgeted expenditures from 1 May 2013 to 30 April 2014 were examined.

5.3.2	22 (Issues of concern)	Guidelines/Procedure on Reduced Impact Logging Systems 1999 was made available and enforced in the operation of the FMU. Attempt at maximize usage of resources had to be enhanced as short length residual logs were observed in the production area in Block 9 Coupe 13 operated under log fisher system inspected.	Approval had been given by the Sarawak Forestry Corporation on 24 December 2012 for extracting trees below minimum cutting limit within the skid trails in Coupe 13A. Wastage is reduced as hollow logs that were not taken out were used as culverts and bridges. Shorter logs that were not economically viable to be taken out were used to reinforce earth bunds.	It was sighted during Stage 2 audit that wastage was reduced as hollow logs that were not taken out were used as culverts and bridges as observed in block 45, Coupe 12.. These logs were from block 7 of Coupe 13A based on the tagging at the bottom of the log. Shorter logs that were not economically viable to be taken out were used to reinforce earth bunds.
5.3.3	23 (Issues of concern)	Last training course on logging practices was conducted in the FMU on 2007. However since 2007, the training had not been carried out. This type of training on the proper use of log fisher system would be crucial to minimize damages to residual stands.	Training on RILP and Application of Surveying Instrument was provided to the staff on 12 December 2012. Training on Tree ID (Part III) was held on July 2012.	Records of the training were examined.
5.6.1	24 (Issues of concern)	Monthly Production Limit had been set by the Forest Department dated June 2009 with reservation quota at 6,000 m ³ , export quota 4,000 m ³ total 10,000 m ³ . Data of monthly production of 2007 were presented. However, these data would need to be updated. The FMU was in the process of assessing the existing Permanent Sample Plots (PSP) and results of analysis will have to be presented during the Stage 2 audit.	Owing to the absence of available data and the difficulty of locating the old PSPs, the FMU had initiated a new set of PSPs. The Forestry Department had also selected the FMU to establish trial plots for Forest Resource Inventory (FRI) as part of the department's state project. SFC research plots within different habitats had been established. The latest monthly production limit had been set at 7,000 m ³ with 2,800 m ³ as reservation quota	The revised data were made available during the audit.

			and 4,200 m ³ under export quota.	
5.6.2	25 (Issues of concern)	Records of the quantity of timber harvested within the FMU were maintained but were yet to be updated.	100% tree tagging summaries in Coupe 12A/2011 and Coupe 14A/2013 of the FMU T/4317 were presented. Monthly records of timber harvested from each block were presented from December 2012 to March 2013.	All the records were examined during the audit.
6.2.1	26 (Issues of concern)	There are prescribed guidelines for identification and protection of these species provided by the authority as in the <i>Wildlife Protection Ordinance 1998</i> and <i>A Master Plan for Wildlife in Sarawak 1996</i> . The <i>2001 Categories & Criteria (version 3.1) IUCN Red List of Threatened Species</i> was available in the base camp office. This would have to be replaced with the latest version from IUCN.	The IUCN Red List of Threatened Species July 2009 is available in the office at Sekawie Camp. Responses from the Sarawak Forestry Corporation on the threatened species was presented in reports "Dipteroarps in an FMU area of Sarawak" and "Re-audit of the conservation status of three genera of Dipterocarpaceae (<i>Dipterocarpus</i> , <i>Dryobalanops</i> and <i>Shorea</i> in Anap Muput FMU.	The IUCN Red List of Threatened Species July 2009 was verified in Stage 2 audit.
6.2.3	27 (Issues of concern)	Cooperative efforts with governmental agencies especially the Sarawak Forestry Corporation on flora and fauna studies were established. However, records of agreement will be verified in Stage 2 audit. Cooperative efforts with non-governmental agencies had also been initiated,	A MOU with SFD and SFC had been established.	A MOU with SFD and SFC had been presented to the audit team during the Stage 2 audit.
6.3.1	28	Closing inspection through	Coupe Clearance	Coupe Clearance

	(Issues of concern)	<i>Laporan Pemeriksaan Tutup SPKP RIL (Closing Report RIL)</i> has been conducted by Forest Ranger of the district. There was a yearly Compliance Report conducted by the FMU in conjunction with the Forest Department. Post-harvest inventory on natural regeneration which was not presented.	Certificate for the FMU Coupes 9A, 10A, 11A and 12A given by Sarawak Forestry Corporation on 1 October 2012 is available	Certificate for the FMU Coupes 9A, 10A, 11A and 12A given by Sarawak Forestry Corporation on 1 October 2012 was examined.
6.3.2	29 (Issues of concern)	In addition to the documents specified, the revised FMP contained a Chapter on Management Prescription which included a section on management strategy for protection of forest. Guidelines for the conservation of genetic, species and ecosystem diversity will need to be prepared. Potential Crop Trees and Mother Trees shall be selected and their numbers recorded. The ecosystem diversity of the FMU has to be documented and protected wherever necessary.	Records of protected trees Coupe 12A/2011 included fruit trees, mother trees and protected trees to be left in harvesting site. In the coupe 640 trees from 22 species were conserved. The list of trees and species were tabulated. In Coupe 13A/2012 similar records were presented. There were 581 trees from 21 species protected. In 14A/2013 there were 651 trees protected.	During Stage 2 audit, it was found that conservation of genetic, species and ecosystem diversity was through protection of mother trees and fruit trees.
6.7.1	30 (Issues of concern)	Containers with fuel for the machinery used in harvesting sites in Coupe 13 were observed along the main roads inspected. There was no containment for these storage drums that may result on contamination of the soil. Old tyres were delivered to the supplier Great Wall Tyres and Battery at Sibü.	In the workshop at Sekawie camp maintenance of engines was done on a platform which had a drainage pipe to a storage drum. An oil trap system had been installed to prevent any overflow of the used oil. There was containment for the diesel fuel storage tanks in the Sagan log pond and Sekawie Base Camp.	In the workshop at Sekawie camp, the platform for engine repair and storage drum were inspected. The oil trap system installed was also present. The containment for the diesel fuel storage tanks in the Sagan log pond and Sekawie Base Camp were smaller than the prescribed 110% requirement.

7.2.2	31 (Issues of concern)	<p>Consultation with forest managers indicated that new scientific and technical information pertinent to the management of the FMU was made available to them. Research data obtained from the FMU by other agencies could be procured and distributed to all the forest managers.</p> <p>They should participate in technical training courses and meetings to be updated on the latest development in forestry research.</p>	<p>The following research reports: <i>Progress report January 2013 on Mammals in Anap Sustainable Development Unit</i> by Hiromitsu Samejima of Kyoto University, <i>Development of Practical Method for Plant-Diversity Survey and Evaluation</i> and presentation on Inventory of Wildlife for sustainable forest management August 2012. <i>Influence of land-use on stream water quality in Bintulu Division Sarawak Malaysia</i> August 2012 were available. Report of a new record of bay cat in CAT News 2011 was also presented.</p>	<p>Research data and findings on the FMU were presented to the audit team.</p>
9.1.1	32 (Issues of concern)	<p>Records of consultation with relevant stakeholders have to add SFC and local communities especially on reference to HCVF 6 on religious sites or sites with cultural importance were not available.</p>	<p>Letters to 11 stakeholders on HCVF in Anap Muput FMU dated 3 January 2013 including NGOs.</p> <p>Dialogue with community reported on 21 March 201 at Rumah Mancha Sungai Anap.</p>	<p>Records of consultations with local communities were presented.</p>
9.2.1	33 (Issues of concern)	<p>A list of relevant and interested stakeholders shall be made available before the Stage 2 audit. Records of consultation shall be provided.</p>	<p>List of stakeholders consulted in Checklist 3. Proposed collaboration on HCVF Management in Anap-Muput FMU on October 5, 2012.</p>	<p>List of stakeholders were made available during the audit.</p>
9.3.1	34 (Issues of concern)	<p>Labeling of HCVF sites have to be done in accordance with its attributes and demarcated on maps. Details of all these measures shall be included in the FMP.</p>	<p>Labeling conducted by FMU</p>	<p>Verification inspection in Stage 2 audit to HCVF 2 Coupe 24A observed signage with site number and conservation attribute.</p>

**Issuance of minor NCRs and OFIs during Stage 2 Audit in 2013 against the MC&I(Natural Forest)
NCRs**

Indicator	NCR No.	Status	Summary of Non-Compliance
4.2.1	1	Minor	<p><u>Up-to-date information on all applicable laws and/or regulations covering occupational safety and health of forest workers shall be disseminated to them</u></p> <p>Records of dissemination of up-to-date safety and health information to forest workers based on Occupational Safety and Health Act 1994 as well as guidelines on occupational safety and health in logging operation had not been presented.</p>
6.7.1	2	Minor	<p><u>Oil, fuel, tyres, containers, liquid and solid non-organic wastes, shall be disposed of in an environmentally appropriate and legal manner.</u></p> <p>The used lubricant oil, fuel, tyres, containers, liquid and solid non-organic wastes, was not disposed of in an environmentally appropriate and legal manner. SOP EM/06-Scheduled Waste (SW) Oil prepared by the FMU was not complied with.</p> <p>From the inspection it was observed that containers for used lubricant were not disposed off as scheduled in the SOP at Ayam Camp.</p> <p>There was no containment for diesel storage containers at “rumah tarik” at Blocks 7 and 10, Coupe 13A.</p> <p>There was no appropriate labeling of the used engine oil collected at the workshop in Sekawie Camp.</p> <p>At the Sekawie Base Camp the containment for the diesel storage was smaller than the prescribed 110% requirement.</p>
8.5.1	3	Minor	<p><u>Summary of the results of monitoring indicators as listed in Criterion 8.2 shall be made available to the public</u></p> <p>The public summary made available in the web page <i>anapmuputfmu.com</i> does not include costs and efficiency of forest management.</p>

OFIs

Indicator	OFI No.	Summary of OFI
1.6.2	1	<p><u>Policies or statements are communicated throughout the organisation and contractors</u></p> <p>Briefing to field staff on the Policy and MC&I (Natural Forest) should be conducted to familiarise them to the requirements.</p>
4.3.4	2	<p><u>Investments and reinvestments are made in forest management, including for forest administration, research, human resource development, protection, economic,</u></p>

		<p><u>conservation, environmental and social aspects</u></p> <p>Expenses on conservation, environment and social aspects were clearly indicated in the financial report and the proposed budget for 2013.</p>
6.2.4	3	<p><u>Hunting, fishing and collecting activities shall be controlled and inappropriate activities prevented in the FMU.</u></p> <p>Control mechanisms for any illegal entries at the main entrances were maintained to prevent poaching. It was noted that awareness among field workers Blocks 9 & 10 could be improved. Awareness briefing and more posters on protected animals and no hunting could be implemented.</p>
8.1.2	4	<p><u>Forest managers shall identify and implement appropriate monitoring procedures, in accordance with the scale and intensity of the FMU operations for assessing social, ecological and environmental and economic impacts</u></p> <p>Monitoring through consultative meetings was held and minutes were available. This process could be improved with documentation on the progress and impacts in a monitoring report.</p>
8.3.1	5	<p><u>Forest managers shall provide relevant documents for identifying all forest products leaving the area to be certified so that their origin could be easily determined.</u></p> <p>Training on the COC process and the related documentation could be provided to the clerks in charge of the process at the Sagan log pond to improve efficiency.</p>

End of public summary